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8 UNITED STATES DISTRICT COURT  
9 FOR THE WESTERN DISTRICT OF WASHINGTON

10 KARL LEAVERTON,

11 Plaintiff,

12 v.

13 RBC CAPITAL MARKETS CORPORATION,  
14 et al.

15 Defendants.

Case No.: CV 9-1804

NOTICE OF OCCURRENCE OF  
ALTERNATIVE RESOLUTION

16  
17 Pursuant to CR 39.1(c)(6) notice is hereby given that on November 2, 2010, the parties  
18 participated in a mediation. This matter is now resolved.

19 DATED this 15th day of November, 2010.

20 STOKES LAWRENCE, P.S.

21  
22 By: /s/ Carolyn Cairns  
23 Mediator  
24  
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1 **CERTIFICATE OF SERVICE**

2 I hereby certify that on November 15, 2010, I caused the foregoing Notice of Occurrence of  
3 Alternative Resolution to be:

4 ☒ electronically filed with the Clerk of the Court using the CM/ECF system which will send  
5 notification of such filing to the following:

6 Patty Eakes  
7 peakes@yarmuth.com

8 Kevin Hamilton  
9 KHamilton@perkinscoie.com

10 ☐ mailed by first class United States mail, postage prepaid, to the following non-CM/ECF  
11 participants:

12 ☐ hand delivered to the following non-CM/ECF participants:

13 ☐ e-mailed and mailed by first class United States mail, postage prepaid, to the following  
14 non-CM/ECF participants:

15 ☐ faxed and mailed by first class United States mail, postage prepaid, to the following  
16 non-CM/ECF participants:

17 /s/ Carolyn Cairns

18 Mediator

19 Stokes Lawrence, P.S.

20 800 Fifth Avenue, Suite 4000

21 Seattle, WA 98104

22 (206) 626-6000

23 Fax: (206) 464-1496

24 CC@stokeslaw.com